| FORM I | PTO-139 07-2004) | 20 (Modified) U.S. PATENT AND T ARK OFFICE; U.S. DEPARTMENT OF COMMERCE | ATTO Y'S DOCKET NUMBER | | | | | | |
|----------|---------------------|--|---|--|--|--|--|--|--|
| | | RANSMITTAL LETTER TO THE UNITED STATES | 2589 NUSOX PCT | | | | | | |
| | | DEŞIGNATED/ELECTED OFFICE (DO/EO/US) | U.S. APPLICATION NO. (If known, see 37 CFR 1:5) | | | | | | |
| | CO | NCERNING A SUBMISSION UNDER 35 U.S.C. 371 | 10/509087 | | | | | | |
| INTE | | IONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP03/05091 22 April 2003 | PRIORITY DATE CLAIMED 22 April 2002 (earliest) | | | | | | |
| | OF I | NVENTION | | | | | | | |
| | | D FOR MANUFACTURING ACTIVATED CARBON, POLARIZA | ABLE ELECTRODE, AND ELECTRIC | | | | | | |
| DOL | BLE | E-LAYERED CAPACITOR | | | | | | | |
| | | T(S) FOR DO/EO/US | | | | | | | |
| NOZO |)mu s | SUGO, et al. | | | | | | | |
| Appli | icant l | herewith submits to the United States Designated/Elected Office (DO/EO/US) | the following items and other information: | | | | | | |
| | | This is a FIRST submission of items concerning a submission under 35 U.S. | | | | | | | |
| 1. 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission of items concerning a submission of items. | | | | | | | |
| 3. | ⊠ | This is an express request to begin national examination procedures (35 U.S. | | | | | | | |
| J. | | (6), (9) and (24) indicated below. | 0.0,1(1)). 1.10 0.00.1.200.00 | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the Intern | ational Bureau). | | | | | | |
| | | b. 🛮 has been communicated by the International Bureau. | | | | | | | |
| | <i>c</i> - | c. is not required, as the application was filed in the United States Rec | | | | | | | |
| 6. | Î Z | An English language translation of the International Application as filed (35 | U.S.C. 371(c)(2)). | | | | | | |
| | | a. 🗵 is attached hereto. | | | | | | | |
| _ | L E771 | b. has been previously submitted under 35 U.S.C. 154(d)(4). | - 10 (25 H 5 C 271 (-)(2)) | | | | | | |
| 7. | \boxtimes | Amendments to the claims of the International Application under PCT Articl | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Burson) | national Bureau). | | | | | | |
| | | b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amende | dments has NOT expired | | | | | | |
| | | d. \(\sime \) have not been made and will not be made. | aments has two respired. | | | | | | |
| 8. | | | Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. | ⊠ | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | |
| 11. | | A copy of the International Preliminary Examination Report (PCT/IPEA/409). | | | | | | | |
| 12. | \boxtimes | A copy of the International Search Report (PCT/ISA/210). | | | | | | | |
| | ems 1 | 13 to 23 below concern document(s) or information included: | | | | | | | |
| 13. | | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 14. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 15. | \boxtimes | A FIRST preliminary amendment. | | | | | | | |
| 16. | | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | |
| 17. | | A substitute specification. | | | | | | | |
| 18. | | A power of attorney and/or change of address letter. | | | | | | | |
| 19. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | |
| 20. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 21. | | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 22. | | Express Mail Label No. | | | | | | | |
| 23. | \boxtimes | Other items or information: | 47 CT 47 100 (PTC 4440 | | | | | | |
| | | Application Data Sheet/Noitce of Priority/Drawings (1 Sheet)/PCT/IB/30 Statement of Relevancy/Cited References (7) | 4/PCT/IB/308/PTO-1449 | | | | | | |

DT04 Rec'd PCT/PTO 2 8 SEP 2004

| U.S. APPLICATION | NO (if known, see 37 | PCT/JP03/0509 | | 0. | <i>l</i> . | USOX PCT | | |
|--|---|---|------------------|-------------------------------|-----------------------------|-----------------|--|--|
| 24. The fo | llowing fees are submitted:. | • | | | CALCULATION | S PTO USE ONLY | | |
| ☐ Neither inte | AL FEE (37 CFR 1.492(a)(1)-(5) rnational preliminary examination il search fee (37 CFR 1.445(a)(2) tional Search Report not prepared | | | | | | | |
| ☑ Internationa USPTO but | al preliminary examination fee (37) International Search Report prep | \$920.00 | | | | | | |
| International but internat | al preliminary examination fee (37 cional search fee (37 CFR 1.445(a) | \$770.00 | | | | | | |
| ☐ International but all clain | al preliminary examination fee (37 ns did not satisfy provisions of PC | | | | | | | |
| ☐ International International International | al preliminary examination fee (37 ans satisfied provisions of PCT Ar | ticle 33(1)-(4) | | \$100.00 | , | | | |
| | ENTER APPROPRI | ATE BASIC FEE AM | | | \$920.00 | | | |
| Surcharge of \$130. months from the ea | 00 for furnishing the oath or decl rliest claimed priority date (37 C | aration later than 20 FR 1.492(e)). | 0 . | □ 30 | \$0.00 | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | | RATE | | | | |
| Total claims | 52 - 20 = | 32 | х | \$18.00 | \$576.00 | | | |
| Independent claims | 5 - 3 = | 2 | х | \$86.00 | \$172.00 | | | |
| Multiple Dependen | t Claims (check if applicable). | | | | \$0.00 | | | |
| | | ABOVE CALCULAT | | NS = | \$1,668.00 | | | |
| reduced by 1/ | ms small entity status. See 37 CF 2. | R 1.27. The fees indicated above | e are | | \$0.00 | : | | |
| 4 | | SUB' | TO | CAL = | \$1,668.00 | | | |
| Processing fee of \$ months from the ea | 130.00 for furnishing the English rliest claimed priority date (37 C | translation later than \Box 20 FR 1.492(f)). | 0 | □ 30 + | \$0.00 | | | |
| | | TOTAL NATIONAL | LFI | EE = | \$1,668.00 | | | |
| Fee for recording the accompanied by an | ne enclosed assignment (37 CFR appropriate cover sheet (37 CFR | 1.21(h)). The assignment must be 3.28, 3.31) (check if applicable | oe le). | | \$0.00 | | | |
| | | TOTAL FEES ENCL | OSI | E D = | \$1,668.00 | | | |
| | | | | • | Amount to be: refunded | \$ | | |
| | | | | | charged | \$ | | |
| b. 🗌 Plea | heck in the amount of ase charge my Deposit Account N Director is hereby authorized to Deposit Account No15-003 | lo in the am | ount | of | | the above fees. | | |
| | s are to be charged to a credit care ormation should not be included | | | | | | | |
| NOTE: Where an 1.137(a) or (b)) m | appropriate time limit under 3 ust be filed and granted to resto | 7 CFR 1.494 or 1.495 has not bre the International Applicatio | oeen r n to p | net, a petitio ending stat | on to revive (37 CFI us. | R | | |
| SEND ALL CORR | ESPONDENCE TO: | | | lu | und Jacha | 1 | | |
| | • | te des Oceloon | SIC | GNATURE | | | | |
| | | urinder Sachar | Norman F. Oblon | | | | | |
| | negis | tration No. 34,423 | | ME | , bion | | | |
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| CUSTOMER NU | MBER | | _ | ,618 | | | | |
| 22850 | | | RE | GISTRATI(| ON NUMBER | | | |
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| | | | | | | | | |

Docket No.

258970US0X PC1

IN RE APPLICATION OF: Nozomu SUGO, et al.

SERIAL NO: New U.S. PCT Application based on PCT/JP03/05091

FILED:

Herewith

FOR:

METHOD FOR MANUFACTURING ACTIVATED CARBON, POLARIZABLE ELECTRODE, AND

ELECTRIC DOUBLE-LAYERED CAPACITOR

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required

☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

Additional documents filed herewith:

Credit Card Payment Form for \$1668.00/PCT Transmittal Letter Application Data Sheet/Preliminary Amendment (with cover sheet)/Notice of Priority English Translation of Specification/Drawings (1 Sheet)/Declaration/PCT/IB/304

PCT/IB/308/Information Disclsoure Statement/PTO-1449/Statement of Relevancy

Cited References (7)/International Search Report

The Fee has been calculated as shown below:

| CLAIMS | CLAIMS REMAINING | | HIGHEST NUMBER PREVIOUSLY PAID | NO. EXTRA CLAIMS | | RATE | | CALCULATIONS | |
|---------------------------------------|--------------------------------------|---|---|------------------------|---|-------|----|--------------|--|
| TOTAL | 52 | MINUS | 52 | 0 | х | \$18 | = | \$0.00 | |
| INDEPENDENT | 47 | MINUS | 47 | 0 | х | \$86 | = | \$0.00 | |
| | ☐ MULTIPLE DEPENDENT CLAIMS | | | | + | \$290 | = | \$0.00 | |
| | | TOTAL OF ABOVE CALCULATIONS \$0 | | | | | | | |
| in the entertain of the control of | an rejetek ratio ili oleh eta. No | ☐ Reduction by 50% for filing by Small Entity | | | | | | \$0.00 | |
| 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | | ☐ Recordation of Assignment | | | | \$40 | = | \$0.00 | |
| | sale p | | | | | тот | AL | \$0.00 | |

| Αc | heck | in | the | amount | of | \$0.00 | is | attac | hed. | |
|----|------|----|-----|--------|----|--------|----|-------|------|--|
| | | | | | | | | | | |

- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Norman F. Oblon

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Customer Number

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Registration No. 34.423